

Needham, Chapter 7, Exercise 12, p. 372.
G. Palmer, October 23, 2017, 4:30 pm PST

12. We can formalize, and slightly generalize, our explanation of Rouché's Theorem as follows:

(i) If $p(z)$ and $q(z)$ are nonzero on a simple curve Γ , and $\tilde{\Gamma}$ is the image curve under $z \mapsto p(z)q(z)$, show that

$$\nu[\tilde{\Gamma}, 0] = \nu[p(\Gamma), 0] + \nu[q(\Gamma), 0].$$

To restate the equation, the total number of winds $\nu[\tilde{\Gamma}, 0]$ under this construction is the sum of the winds contributed by p and q for each p -point in Γ as z traverses Γ . See [8]. The winds for each are $\nu[p(\Gamma), 0]$ and $\nu[q(\Gamma), 0]$. Let m and n be the number of p -points of $p(z)$ and $q(z)$ in Γ . Then $\nu[p(\Gamma), 0] = m$ and $\nu[q(\Gamma), 0] = n$ by the Topological Argument Principle (10), p. 350. The winding numbers are associated with the angles $m2\pi$ and $n2\pi$. Adding these angles produces $\arg(p(\Gamma)q(\Gamma)) = (m+n)2\pi$, which is the angle of $\tilde{\Gamma}$ under $z \mapsto p(z)q(z)$ for one traversal of Γ . Since adding the angles amounts to adding the winding numbers, the angle $(m+n)2\pi$ is associated with the winding number $\nu[\tilde{\Gamma}, 0] = \nu[p(\Gamma), 0] + \nu[q(\Gamma), 0]$.

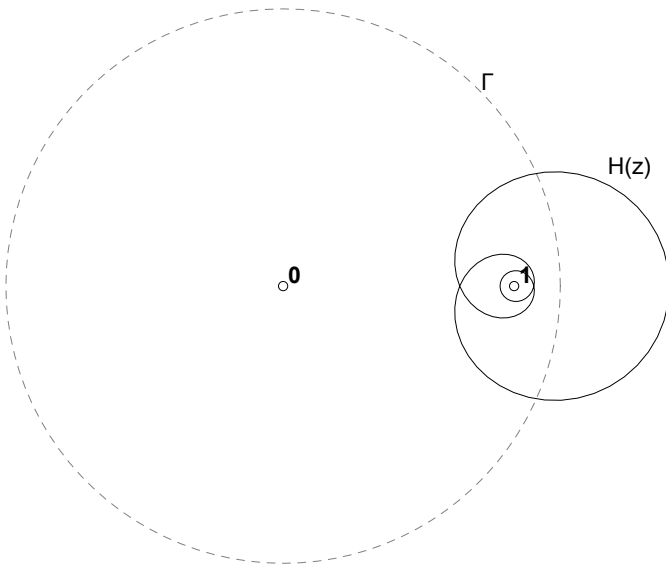


Figure 1: $H(z) = 1 + f(z)/g(z)$

(ii) Write

$$f(z) + g(z) = f(z)\left[1 + \frac{g(z)}{f(z)}\right] = f(z) H(z)$$

If $|g(z)| < |f(z)|$ on Γ , sketch a typical $H(\Gamma)$. Deduce that $\nu[H(\Gamma), 0] = 0$.

A typical $H(\Gamma)$ would be a loop or loops around 1, as in Figure 1, in which $g(z) = e^z$ and $h(z) = z^3 e^a$,

$a = 1.05$. Because $|g(z)| < |f(z)|$, $|\frac{g(z)}{f(z)}| < 1$. Hence the little dog on the $H(z)$ leash attached to owner $f(z)$ can not reach the tree at the origin. Because she can not reach the origin, $H(z)$ does not wind around 0, so $\nu[H(\Gamma), 0] = 0$.

Using the previous part, deduce Rouché's Theorem.

$$\begin{aligned}\nu[f(\Gamma)H(\Gamma), 0] &= \nu[f(\Gamma), 0] + \nu[H(\Gamma), 0] \quad \text{from (i) and (ii)} \\ &= \nu[f(\Gamma), 0]\end{aligned}$$

Since $|g(z)| < |f(z)|$ and $f(z) + g(z)$ can always be rewritten as $f(z)[1 + \frac{g(z)}{f(z)}]$, this proves that $f + g$ has the same number of roots inside Γ as f .

(iii) *If we only stipulate that $|g(z)| \leq |f(z)|$ on Γ , then parts of $H(\Gamma)$ could actually coincide with the circle $|z-1| = 1$, rather than lying strictly inside it, and $\nu[H(\Gamma), 0]$ might not be well-defined. However, show that if we further stipulate $f+g \neq 0$ on Γ , then $\nu[H(\Gamma), 0] = 0$ as before.*

We need only examine the case where $|g(z)| = |f(z)|$ and $f+g \neq 0$ for all z on Γ . It appears that these conditions can only be met throughout $f(\Gamma)$ if $\arg(f) - \arg(g) = \text{const.} \neq \pi$. Let $f = re^{i\theta}$ and let $g = re^{i(\theta-\alpha)}$, α a real constant. Then $g/f = e^{i\alpha}$. Since we have stipulated that $f+g \neq 0$, $g \neq -f$. So as long as f and g never become diametrically opposite ($\alpha = \pi$), things are OK (Vasco, VCA Forum). Hence, f and g must travel at the same speed and in the same direction, for otherwise, they would eventually intersect, though perhaps not in a single traversal of Γ by z . Under these conditions, $H(\Gamma)$ will never touch or encircle 0 even though $|g(z)| = |f(z)|$. It is only when $g(z) = -f(z)$ that $H(\Gamma)$ touches 0, rendering it undefined.

Deduce that $\nu[(f+g)(\Gamma), 0] = \nu[f(\Gamma), 0]$.

In (ii), we found that

$$\nu[f(\Gamma)H(\Gamma), 0] = \nu[f(\Gamma), 0] + \nu[H(\Gamma), 0] = \nu[(f+g)(\Gamma), 0]$$

Since $\nu[H(\Gamma), 0] = 0$, follows that $\nu[(f+g)(\Gamma), 0] = \nu[f(\Gamma), 0]$. In the example given $\nu[(f+g)(\Gamma), 0] = 1$.